

Continuing Professional Development for Licensed Insurance Intermediaries**List of Type 7 Qualified CPD Activities – Insurance Authority (“IA”)**

持牌保險中介人持續專業培訓

第 7 類合資格持續專業培訓活動 - 保險業監管局

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA-01-2019001	IA	Anti-Money Laundering and Counter-Terrorist Financing Seminar 2019	3	21 Oct 2019 28 Oct 2019	Mr Ansel Tam 3899 9994	--

Continuing Professional Development for Licensed Insurance Intermediaries
List of Type 7 Qualified CPD Activities – Independent Commission Against Corruption (“ICAC”)

持牌保險中介人持續專業培訓
 第 7 類合資格持續專業培訓活動 – 廉政公署

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA/03/04	ICAC	Seminar on Corruption Prevention and Managing Staff Integrity for Managers of Life Insurance Sector	2	Apr 2019 – Mar 2020	Ms Yvonne Chan 2826 3287	See Note 1
IA/03/05	ICAC	Seminar on Corruption Prevention and Professional Ethics for Life Insurance Intermediaries (with case studies)	1.5	Apr 2019 – Mar 2020	Ms Yvonne Chan 2826 3287	See Note 1
IA/03/06	ICAC	Seminar on Corruption Prevention and Professional Ethics for Life Insurance Intermediaries	1	Apr 2019 – Mar 2020	Ms Yvonne Chan 2826 3287	See Note 1
IA/03/07	ICAC	Seminar on Corruption Prevention and Managing Staff Integrity for Managers of General Insurance Sector	1.5	Nov 2019 – Mar 2020	Ms Yvonne Chan 2826 3287	See Note 1
IA/03/08	ICAC	Seminar on Corruption Prevention and Professional Ethics for General Insurance Intermediaries	1	Nov 2019 – Mar 2020	Ms Yvonne Chan 2826 3287	See Note 1
IA-ICAC-2020001	ICAC	“Integrity for Success” - Continuing Professional Development Course for Insurance Intermediaries	1	Apr 2020 – Mar 2021	Ms Mabel Chu 2899 3795	“Ethics or Regulations”* See Note 1

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA-ICAC-2020002	ICAC	“Integrity for Success” - Continuing Professional Development Course for Insurance Intermediaries with Managerial Roles	2	Apr 2020 – Mar 2021	Ms Mabel Chu 2899 3795	“Ethics or Regulations”* See Note 1

Note 1:

Target Participant: Public (upon request by individual companies or professional bodies of insurance industry only)

培訓對象: 公眾人士 (須由個別公司或保險業團體提出要求)

* This CPD activity covers contents relating to “Ethics or Regulations” referred to in para 3 of Annex 1 to GL24: Guideline on Continuing Professional Development for Licensed Insurance Intermediaries.

Continuing Professional Development for Licensed Insurance Intermediaries
List of Type 7 Qualified CPD Activities – Office of the Privacy Commissioner for Personal Data (“PCPD”)

持牌保險中介人持續專業培訓

第 7 類合資格持續專業培訓活動 – 個人資料私隱專員公署

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA/08/02	PCPD	Data Protection and Data Access Request	3	Apr 2020 – Mar 2021	Mr Collin Chiu 2877 7169	--
IA/08/03	PCPD	Data Protection in Human Resource Management	3	Apr 2020 – Mar 2021	Mr Collin Chiu 2877 7169	--
IA/08/04	PCPD	Data Protection in Direct Marketing Activities	3	Apr 2020 – Mar 2021	Mr Collin Chiu 2877 7169	--
IA/08/05	PCPD	Data Protection in Insurance	3	Feb 2020 – Jan 2021	Mr Collin Chiu 2877 7169	--

Continuing Professional Development for Licensed Insurance Intermediaries
List of Type 7 Qualified CPD Activities – The Hong Kong Federation of Insurers (“HKFI”)

持牌保險中介人持續專業培訓

第 7 類合資格持續專業培訓活動 – 香港保險業聯會

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA-HKFI-2019001	HKFI	Intermediary Regulation in the Insurance Authority’s Regime	2	01 Nov 2019 – 31 Oct 2020	Ms Christine Wong 2520 1868	“Ethics or Regulations”* See Note 2
IA-HKFI-2019002	HKFI	Maximising the Benefits of MPF	2	01 Nov 2019 – 31 Oct 2020	Ms Christine Wong 2520 1868	See Note 2
IA-HKFI-2019003	HKFI	“Navigate through the rough sea: What are the plans and concerns of marine mutual insurer in Asia?”	3	21 Nov 2019	Ms Kiane Liu 2520 1868	--
IA-HKFI-2019004	HKFI	Code of Conduct & Six Sales-related Guidelines for Insurance Agents in the Direct Licensing Regime	3	01 Nov 2019 – 31 Oct 2020	Ms Christine Wong 2520 1868	“Ethics or Regulations”* See Note 2
IA-HKFI-2020001	HKFI	Criminal, Civil and Regulatory Process for Licensed Insurance Agents	3	01 Feb 2020 – 31 Jan 2021	Ms Christine Wong 2520 1868	“Ethics or Regulations”* See Note 2

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA-HKFI-2020002e	HKFI	Online CPD Modules on Ethics and Integrity by ICAC & HKFI 廉署保聯「持牌保險代理人誠信及道德規例培訓」聯合課程	1	01 Apr 2020 – 31 Mar 2021	Ms Christine Wong 2861 9339	“Ethics or Regulations”* E-learning Activity** See Note 3
IA-HKFI-2020003e	HKFI	Online Ethics Training for Licensed Insurance Intermediaries on Medical Insurance – Voluntary Health Insurance Scheme 持牌保險代理人道德規例培訓 – 醫療保險 – 自願醫保	0.5	01 Apr 2020 – 31 Mar 2021	Ms Christine Wong 2861 9339	“Ethics or Regulations”* E-learning Activity**
IA-HKFI-2020004	HKFI	InsurTech and Data Privacy	2	01 May 2020 – 30 Apr 2021	Ms Christine Wong 2861 9339	“Ethics or Regulations”*
IA-HKFI-2020005	HKFI	Prevention of Corruption and Insurance Fraud	2	01 May 2020 – 30 Apr 2021	Ms Christine Wong 2861 9339	“Ethics or Regulations”*
IA-HKFI-2020006	HKFI	Executive Certificate in Marine Insurance	45	01 June 2020 – 30 May 2021	Ms Christine Wong 2520 1868	
IA-HKFI-2020007	HKFI	Executive Certificate in Medical Insurance and Health Planning for Retirement	30	01 June 2020 – 30 May 2021	Ms Christine Wong 2520 1868	
IA-HKFI-2020008	HKFI	IUMI Online Stockholm Conference 2020	Cap at 10	14-25 September 2020	Ms Yuki Ma 2861 9318	See Note 2A
IA-HKFI-2020009	HKFI	Roles and Responsibilities of Key Persons in Intermediary Management Function	3	01 August 2020 – 31 July 2021	Ms Christine Wong 2520 1868	“Ethics or Regulations”* See Note 2

* This CPD activity covers contents relating to “Ethics or Regulations” referred to in para 3 of Annex 1 to GL24: Guideline on Continuing Professional Development for Licensed Insurance Intermediaries.

** This is an E-learning Activity as described in GL24. Individual licensees can earn up to 5 CPD hours for each Assessment Period through participation in E-learning Activities recognized as Type 1 or 7 Qualified CPD Activities (in aggregate).

Note 2:

This activity can also be offered in virtual classroom mode.

Note 2A:

This activity is conducted in virtual classroom mode only.

Note 3:

This 2-module E-learning Activity is jointly organized by the Independent Commission Against Corruption (ICAC) and the HKFI. Individual licensees can earn 1 CPD hour after completing both modules as well as obtaining a pass in the end-of-activity assessment for each of the 2 modules. In addition, Module Two of this E-learning Activity is identical to the E-learning Activity entitled "Online Ethics Training for Licensed Insurance Intermediaries on Medical Insurance-Voluntary Health Insurance Scheme" (Reference No. of IA-HKFI-2020003e). Individual licensees are not eligible for additional CPD hour(s) by repeated study of the same module.

Continuing Professional Development for Licensed Insurance Intermediaries
List of Type 7 Qualified CPD Activities – The Hong Kong Confederation of Insurance Brokers (“CIB”)

持牌保險中介人持續專業培訓
 第 7 類合資格持續專業培訓活動 – 香港保險顧問聯會

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA-CIB-2019001	CIB	Insurance industry cycle: Managing in a hard market	6	09 Oct 2019	Mr Tommy Chan 2882 9943	--
IA-CIB-2019002	CIB	Compliance for Life Products	2	24 Oct 2019	Mr Tommy Chan 2882 9943	--
IA-CIB-2019003	CIB	How Reinsurance Treaties Can Make Your Business Efficient and Profitable?	6	30 Oct 2019	Mr Tommy Chan 2882 9943	--
IA-CIB-2019004	CIB	Facultative and ART – How Do They Support Treaty Reinsurance?	6	31 Oct 2019	Mr Tommy Chan 2882 9943	--
IA-CIB-2019005	CIB	CIB Annual Conference 2019: THE FUTURE IS NOW – Dealing with the Transition	3	4 Nov 2019	Mr Tommy Chan 2882 9943	--
IA-CIB-2019006	CIB	Intermediate Cyber Risk Insurance	6	27 Nov 2019	Mr Tommy Chan 2882 9943	--
IA-CIB-2019007	CIB	Assessing and Managing Silent / Non- Affirmative Cyber Risk	6	28 Nov 2019	Mr Tommy Chan 2882 9943	--
IA-CIB-2020001	CIB	Drones Insurance	6	14 Jan 2020	Mr Tommy Chan 2882 9943	--
IA-CIB-2020002	CIB	Fraud Management	6	16 Jan 2020	Mr Tommy Chan 2882 9943	--
IA-CIB-2020003	CIB	Advanced Liability Insurance and Claims Management	6	17 Jan 2020	Mr Tommy Chan 2882 9943	--

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA-CIB-2020004	CIB	Understand Divergent Views on Insurance Claims by Case Studies	2	21 May 2020 (rerun on 2 June 2020)	Mr Tommy Chan 2882 9943	See Note 4
IA-CIB-2020005	CIB	Understanding Different Communication and Working Style	2	27 May 2020 (rerun on 3 June 2020)	Mr Tommy Chan 2882 9943	See Note 4
IA-CIB-2020006	CIB	Compliance Manual – your handy tool for compliance	2	16 June 2020 (Cantonese Session); 18 June 2020 (English Session) (rerun on 21 July 2020 (Cantonese Session); 24 July 2020 (English Session))	Mr Tommy Chan 2882 9943	See Note 4
IA-CIB-2020007	CIB	The Impact of COVID-19 on the Insurance in the Long Term	2	29 June 2020	Mr Tommy Chan 2882 9943	See Note 4
IA-CIB-2020008	CIB	Business Interruption – Ensuring your customers understand what it does and does not cover following COVID-19	2	8 July 2020	Mr Tommy Chan 2882 9943	See Note 4
IA-CIB-2020009	CIB	Cyber Loss Scenarios to Test and Demonstrate the Coverage Following COVID-19	2	15 July 2020	Mr Tommy Chan 2882 9943	See Note 4
IA-CIB-2020010	CIB	An Introduction to Reinsurance	2	3 August 2020	Mr Tommy Chan 2882 9943	See Note 4

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA-CIB-2020011	CIB	Risk Management and Insurance Solutions for Infrastructure & Construction Projects	2	2 September 2020	Mr Tommy Chan 2882 9943	See Note 4
IA-CIB-2020012	CIB	Working with People from Across Generations	2	14 September 2020	Mr Tommy Chan 2882 9943	See Note 4

Note 4:

This activity is offered in virtual classroom mode only.

Continuing Professional Development for Licensed Insurance Intermediaries
List of Type 7 Qualified CPD Activities – Professional Insurance Brokers Association (“PIBA”)

持牌保險中介人持續專業培訓

第 7 類合資格持續專業培訓活動 – 香港專業保險經紀協會

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA-PIBA-2019001	PIBA	Insurance and Financial Regulatory Compliance – Anti-Money Laundering and Counter-Terrorist Financing	3	01 Oct 2019 – 30 Sep 2020	Mr WH Wong 2116 0372	--
IA-PIBA-2019002	PIBA	Common Individual Life and Medical Insurance with recent legal cases	5	01 Oct 2019 – 30 Sep 2020	Mr WH Wong 2116 0372	--
IA-PIBA-2019003	PIBA	Seminar on Anti-Corruption Laws for Insurance Brokers	2	01 Oct 2019 – 30 Sep 2020	Ms Eleanor Chung 2869 8515	--
IA-PIBA-2019004	PIBA	Insurance and Financial Regulatory Compliance – Highlights of New Insurance Intermediary Regulations	3	01 Nov 2019 – 31 Oct 2020	Ms Eleanor Chung 2869 8515	--
IA-PIBA-2019005	PIBA	Insurance Arrangement for Educational Institution Service: Public Liability, Professional Liability and Directors’ and Officers’ Liability Underwriting and Claims handling with interesting cases sharing	5	01 Nov 2019 – 31 Oct 2020	Ms Eleanor Chung 2869 8515	--
IA-PIBA-2019006	PIBA	Claims Management Series: Public Liability Insurance for Shop	2	01 Nov 2019 – 31 Oct 2020	Ms Iris Hau 2116 0372	--

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA-PIBA-2019007	PIBA	Claims Procedures and Case Study on Marine Cargo Claims Relating to Different Types of Cargoes and Incidents	3	01 Nov 2019 – 31 Oct 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2019008	PIBA	Claims on Pleasure Crafts and Yachts	3	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2019009	PIBA	Essentials Technical Knowledge of Marine Cargo Insurance	4	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2019010	PIBA	Property All Risks Insurance Coverage for Property Management Industry	5	01 Dec 2019 – 30 Nov 2020	Ms Eleanor Chung 2869 8515	--
IA-PIBA-2019011	PIBA	Anti-Money Laundering and Counter-Terrorist Financing	2	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2019012	PIBA	“Understand Liability Insurances” from Claimants’ Perspectives	4	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2019013	PIBA	Claims Relating to Liability under Various Types of Marine Liability Policies as well as P&I Claims	3	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2019014	PIBA	Reinsurance: Concepts, Tools and Recent Developments	3	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2019015	PIBA	Legal Principles applicable to Insurance Contract and Corporate Governance	3	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2019016	PIBA	Enterprise Risk Management: Concepts and Applications	3	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2019017	PIBA	Employees’ Compensation and Personal Injuries Claims – An Update	3	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2019018	PIBA	Fundamentals of Actuarial Analysis in General Insurance	3	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2019019	PIBA	Business Ethics and Trusted Professionals	3	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	See Note 5

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA-PIBA-2019020	PIBA	Insurance Analytics and Their Applications	3	01 Dec 2019 – 30 Nov 2020	Ms Iris Hau 2116 0372	--
IA-PIBA-2020001	PIBA	Employees' Compensation and Public Liability Policy Coverage for Commercial Sector: Underwriting and Claims	5	01 Jan 2020 – 31 Dec 2020	Ms Eleanor Chung 2869 8515	--
IA-PIBA-2020002	PIBA	Financial Regulatory Compliance – Compliance Concerns of Virtual Assets	3	1 Jul 2020 – 30 Jun 2021	Ms Eleanor Chung 2869 8515	--
IA-PIBA-2020003	PIBA	Anti-Money Laundering	2	1 Sep 2020	Ms Iris Hau 2116 0372	See Note 5A
IA-PIBA-2020004	PIBA	Compliance for Life Products	2	1 Sep 2020	Ms Iris Hau 2116 0372	See Note 5A
IA-PIBA-2020005	PIBA	Leveraging Diversity and Inclusion to Create Competitive Advantage	2	2 Sep 2020	Ms Iris Hau 2116 0372	See Note 5A
IA-PIBA-2020006	PIBA	Life Insurance used as Financial Instrument	2	3 Sep 2020	Ms Iris Hau 2116 0372	See Note 5A
IA-PIBA-2020007	PIBA	The Impact of COVID-19 on the Insurance in the Long Term	2	3 Sep 2020	Ms Iris Hau 2116 0372	See Note 5A
IA-PIBA-2020008	PIBA	China Insurance Law	2	4 Sep 2020	Ms Iris Hau 2116 0372	See Note 5A
IA-PIBA-2020009	PIBA	Compliance issues for broker companies	1	29 Sep 2020	Ms Tiffany Tsoi 2139 1368	See Note 5A
IA-PIBA-2020010	PIBA	Seminar on Anti-Money Laundering	3	8 Oct 2020	Ms Eleanor Chung 2869 8515	See Note 5A
IA-PIBA-2020011	PIBA	Sanctions and Beyond Sanctions, for a Sustainable Franchis	1	6 Oct 2020	Ms Eleanor Chung 2869 8515	See Note 5A

Reference No. 參考編號	Name of Organizer 舉辦機構名稱	Title of Activity 活動名稱	No. of CPD hours 持續專業培訓時數	Validity Period 有效期	Contact 聯絡方法	Remarks 備註
IA-PIBA-2020012	PIBA	保險糾紛調解課程	3	20 Oct 2020	Ms Candy Cheung 2139 1328	See Note 5A

Note 5:

This activity can also be offered in virtual classroom mode.

Note 5A:

This activity is offered in virtual classroom mode only.