

本函編號：PT/SL-2007/07-74

收件人：所有保險代理、負責人及業務代表（「登記人士」）－或經由委任保險公司／保險代理轉交

寄件人：香港保險業聯會行政總監譚仲豪

日期：2007年11月26日

主題：持續專業培訓(培訓)計劃的行政事宜

保險代理登記委員會（委員會）於2007年11月3日審議所有未能符合持續專業培訓計劃要求（『要求』）的個案，並議決對當事人應採取的適當紀律行動，詳情如下：

1. 截至2007年7月31日止的評估年度內，共有579宗未能符合『要求』的個案。
2. 委員會視乎登記人士是否已隨後完成尚欠的培訓時數，以及曾否因未能符合『要求』而被委員會處分，而決定相應的紀律行動，詳情請參閱附件。
3. 有關撤銷登記的起始日期為2007年11月14日。
4. 所有被撤銷登記的人士在期限屆滿後可重新申請登記，惟屆時必須取得所有尚欠的培訓時數。

委員會將於未來兩個月內，以隨機抽樣的方式要求確認符合的登記人士出示有關符合『要求』的證明。

未能符合『要求』的個案由2005年的1,664宗和2006年的799宗，下降至本年度的579宗，個案大幅減少有賴各方共同努力和支持，本會謹此致謝並期望來年個案數字進一步減少。

譚仲豪

香港保險業聯會
行政總監
譚仲豪

附件

又：隨函附上香港保險業聯會出版之《投購醫療保險精明攻略》，以供參閱。

註冊有限公司 Incorporated with limited liability

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Our ref : PT/SL-2007/07-74
To : All insurance agents, Responsible Officers and Technical Representatives
(Registered Persons) via their appointing insurers/insurance agents
From : Peter C H Tam, Chief Executive
Date : 26 November 2007
Subject : **Continuing Professional Development (“CPD”) Programme**

At its meeting held on 3 November 2007, the IARB considered all CPD non-compliance cases and appropriate disciplinary actions.

You will wish to note that:

1. this time there were altogether 579 cases of failure to fulfill the CPD requirements as at the assessment date of 31 July 2007;
2. the IARB adopted a range of disciplinary actions in light of whether the outstanding CPD hours have been made up subsequently and/or the registered person concerned has been disciplined before for similar non-compliance (see Annex for details);
3. de-registration took effect from 14 November 2007;
4. all de-registered persons must fulfill the outstanding CPD hours before they can apply for re-registration at the expiry of the de-registration period.

For those registered persons who have confirmed their compliance, they will be required to provide the relevant proof of compliance upon IARB's request. This will be done by way of random checking in the next two months.

Thanks to your support and the concerted efforts by all concerned, the number of non-compliance cases has been dropping from 1,664 in 2005 to 799 in 2006 and 579 this year. We thank you for your support and hope we can do even better next year.



Peter C H Tam
Chief Executive
The Hong Kong Federation of Insurers

Encl

PS: HKFI published an informative booklet on medical insurance. A copy is enclosed for reference.

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2007 年不符合持續專業培訓(培訓)計劃要求個案數字

Summary of CPD non-compliance cases for 2007

類別 Category		已隨後完成所需 培訓時數 Already made up all the required CPD hours subsequently	曾因未能符合培 訓要求而被處分 Having been disciplined for CPD non- compliance before	委員會採取的紀 律行動 Disciplinary Action Taken by the IARB	個案數字 No. of cases
1	未有於評估日前取 得所需培訓時數 Failure to fulfill CPD requirements on or before the assessment date	是 Yes	否 No	譴責 Reprimand	73
2		是 Yes	是 Yes	撤銷登記四個月 De-registration for 4 months	6
3		否 No	否 No	撤銷登記三個月 De-registration for 3 months	74
4		否 No	是 Yes	撤銷登記六個月 De-registration for 6 months	4
5	未有於評估日前作 出符合培訓要求的 聲明 Failure to report CPD compliance on or before the assessment date	否 No	否 No	撤銷登記三個月 De-registration for 3 months	413
6		否 No	是 Yes	撤銷登記六個月 De-registration for 6 months	9
				總供 TOTAL	579