



香港專業保險經紀協會
PROFESSIONAL INSURANCE BROKERS ASSOCIATION

Annual Certification For	
Name of Member:	Membership No.:
<u>Compliance with the Guidance Note</u> <u>on Prevention of Money Laundering and Terrorist Financing</u> (This section is applicable only to Member engaged in Long Term Insurance Business)	
This is to certify that:	
<ol style="list-style-type: none">(1) A compliance officer or senior management (namely, _____), who is at the management level of our company, has been designated in accordance with the Guidance Note.(2) Policies and procedures in relation to the Guidance Note have been formulated, approved and regularly reviewed by the management of our company.(3) We have verified internally our compliance with the Guidance Note on a regular basis.(4) Unless specified here below, all our branches/subsidiaries overseas (if any) are able to observe the Guidance Note.	
_____ Signature of Chief Executive	
_____ Name in print	
_____ Date	