



Our ref : PT/SL-2007/07-74  
To : All insurance agents, Responsible Officers and Technical Representatives  
(Registered Persons) via their appointing insurers/insurance agents  
From : Peter C H Tam, Chief Executive  
Date : 26 November 2007  
Subject : **Continuing Professional Development (“CPD”) Programme**

At its meeting held on 3 November 2007, the IARB considered all CPD non-compliance cases and appropriate disciplinary actions.

You will wish to note that:

1. this time there were altogether 579 cases of failure to fulfill the CPD requirements as at the assessment date of 31 July 2007;
2. the IARB adopted a range of disciplinary actions in light of whether the outstanding CPD hours have been made up subsequently and/or the registered person concerned has been disciplined before for similar non-compliance (see Annex for details);
3. de-registration took effect from 14 November 2007;
4. all de-registered persons must fulfill the outstanding CPD hours before they can apply for re-registration at the expiry of the de-registration period.

For those registered persons who have confirmed their compliance, they will be required to provide the relevant proof of compliance upon IARB's request. This will be done by way of random checking in the next two months.

Thanks to your support and the concerted efforts by all concerned, the number of non-compliance cases has been dropping from 1,664 in 2005 to 799 in 2006 and 579 this year. We thank you for your support and hope we can do even better next year.

Peter C H Tam  
Chief Executive  
The Hong Kong Federation of Insurers

Encl

PS: HKFI published an informative booklet on medical insurance. A copy is enclosed for reference.

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2007 年不符合持續專業培訓(培訓)計劃要求個案數字

Summary of CPD non-compliance cases for 2007

類別 Category		已隨後完成所需 培訓時數 Already made up all the required CPD hours subsequently	曾因未能符合培 訓要求而被處分 Having been disciplined for CPD non- compliance before	委員會採取的紀 律行動 Disciplinary Action Taken by the IARB	個案數字 No. of cases
1	未有於評估日前取 得所需培訓時數 Failure to fulfill CPD requirements on or before the assessment date	是 Yes	否 No	譴責 Reprimand	73
2		是 Yes	是 Yes	撤銷登記四個月 De-registration for 4 months	6
3		否 No	否 No	撤銷登記三個月 De-registration for 3 months	74
4		否 No	是 Yes	撤銷登記六個月 De-registration for 6 months	4
5	未有於評估日前作 出符合培訓要求的 聲明 Failure to report CPD compliance on or before the assessment date	否 No	否 No	撤銷登記三個月 De-registration for 3 months	413
6		否 No	是 Yes	撤銷登記六個月 De-registration for 6 months	9
				總供 TOTAL	579