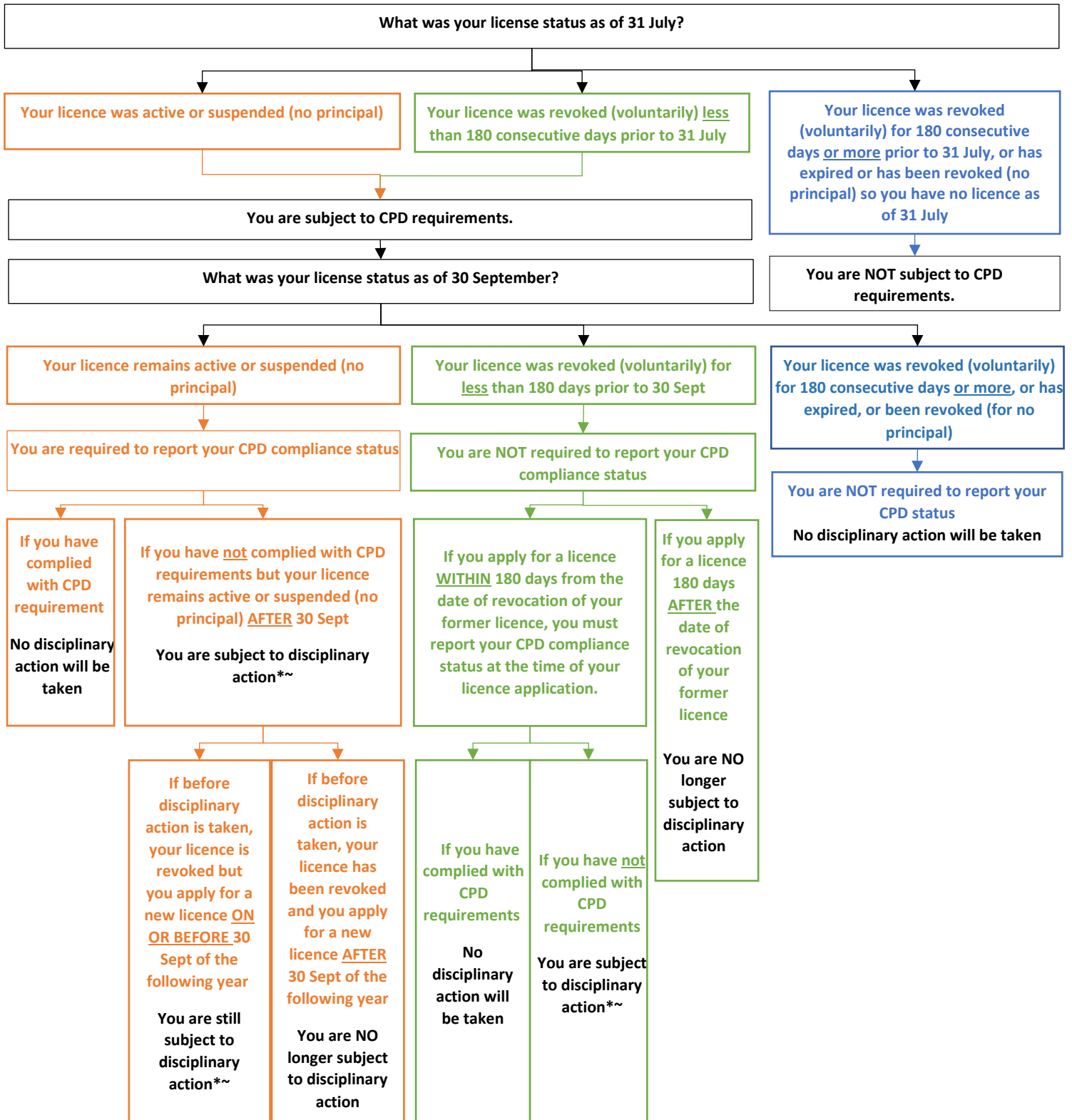


Annex A –Disciplinary Approach for Non-compliance with CPD Requirements



* Principals will assist the IA with any investigation or disciplinary proceedings for non-compliance with the CPD requirement, including the facilitation of any agreement under section 84 of the Insurance Ordinance (Cap. 41) and ensuring the individual has rectified the shortfall in CPD hours, settled the pecuniary fine and served the suspension/prohibition order (where applicable).

~ If your licence was suspended or revoked for 3 consecutive months immediately prior to the imposition of the disciplinary action for your non-compliance with the CPD requirements, the duration of your suspension (no principal) or revocation (voluntary) will be counted towards the term of any suspension or prohibition order imposed under the CPD Penalty Framework.