

13 September 2019

Our Ref: INS/TEC/6/45/3

To : Chief Executives of all authorized insurers  
carrying on long term business

Dear Sirs,

### **Anti-Money Laundering and Counter-Terrorist Financing Seminars 2019**

To further enhance the insurance industry's awareness and understanding of the statutory obligations on anti-money laundering and counter-terrorist financing ("AML/CTF"), the Insurance Authority will host two AML/CTF seminars on 21 and 28 October 2019.

The objectives of the seminars are:

- a) to provide the participants with an overview of the Mutual Evaluation Report of Hong Kong, in particular the contents of which are related to the insurance sector;
- b) to highlight major updates of the AML/CTF Guideline;
- c) to share money laundering typologies and enhance the participants' understanding of the statutory obligations of suspicious transaction reporting ("STR"), including feedback on the quality of STR; and
- d) to provide a forum for discussion on matters relating to AML/CTF compliance.

The seminars will be conducted in English on 21 October 2019 (1:45 pm to 4:45 pm) and in Cantonese on 28 October 2019 (9:30 am to 12:30 pm), and will be held at the Lecture Theatre of the Hong Kong Central Library, Causeway Bay. You and your company representatives (e.g. Compliance Officers, Money Laundering Reporting Officers, and/or relevant managing personnel with the responsibility to

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implement AML/CTF systems and control measures) are cordially invited to attend the seminars. Each company can nominate up to a total of three representatives for the two seminars. The seminars are free of charge and three CPD hours will be awarded to the participants. The programme of the seminars is attached at Annex I for your reference.

To facilitate logistical arrangements, please complete the enrolment form at Annex II in excel format and return via email ([aml@ia.org.hk](mailto:aml@ia.org.hk)) **on or before 27 September 2019**. Seats will be allocated on a first-come-first-serve basis, and further seats to be allocated subject to availability. Where a preferred session of seminar is full, an alternative session of seminar may be allocated.

The Insurance Authority will send the confirmation slip(s) by email directly to the contact person of each company as provided in the enrolment form before the seminars. Participants should present the confirmation slip for registration at the venue on the event date.

Should you have any enquiries on the above, please feel free to contact Mr Ansel Tam at 3899 9994 or Ms Chanel Cheung at 3899 9753 or email us at [aml@ia.org.hk](mailto:aml@ia.org.hk).

Yours faithfully,

Dickson Chui  
Senior Manager  
Market Conduct Division  
Insurance Authority

Encls.

c.c. Chairman, The Hong Kong Federation of Insurers