

保險業監理處

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OFFICE OF THE COMMISSIONER
OF INSURANCE

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覆函請註明本處檔號

In reply please quote this ref. INS/TEC/6/45

來函檔號 Your ref.

28 October 2016

電話 Tel. 2867 2711

To : Chief Executives of all authorized insurers
carrying on long term business

Dear Sirs,

Anti-Money Laundering Seminars for the Insurance Industry

To further enhance the insurance industry's awareness and understanding of the statutory obligations on anti-money laundering and counter-terrorist financing ("AML/CTF"), our office will be hosting two anti-money laundering seminars in collaboration with the Financial Services and the Treasury Bureau and the Joint Financial Intelligence Unit on 1 and 2 December 2016. The objectives of the seminars are –

- (a) to provide the participants with an overview of the latest trend on international and domestic AML/CTF developments;
- (b) to raise the participants' awareness of key aspects of AML/CTF systems and controls, from regulator's perspective and insurance institution's perspective;
- (c) to enhance the participants' understanding of the statutory obligations and practices of suspicious transaction reporting ("STR"), including recommendations on improving STR quality; and
- (d) to provide a forum for discussion on matters relating to AML/CTF compliance.

The seminars will be conducted in English on 1 December 2016 (10:00 am to 12:30 pm) and in Cantonese on 2 December 2016 (2:00 pm to 4:30 pm), and will be held at the Lecture Theatre of the Hong Kong Central Library, Causeway Bay. You and your company representatives (e.g. management/operational staff, compliance officers, training officers, agency

/...

heads and agency administrators) are cordially invited to participate in the seminars. Two and a half CPD hours will be awarded to the participants. The programme of the seminars is attached herein at Annexes I & II for your reference.

To facilitate logistical arrangements, please complete the enrolment form at Annex III and return to our office via email (aml@oci.gov.hk) **on or before 11 November 2016**. Each company may nominate up to a total of three representatives for the two seminars. Seats will be allocated on a first-come-first-serve basis, with further seats to be allocated subject to availability. Where a preferred session of seminar is full, an alternative session of seminar may be allocated. Our office will send the confirmation slip by email directly to the contact person as provided in the enrolment form before the seminar. Please request the participants to present the confirmation slip for registration on the event date.

Should you have any enquiries on the above, please feel free to contact Miss Jasmine Lee at 2153 2748 or via aml@oci.gov.hk.

Yours faithfully,

(Miss Nancy Chien)
for Commissioner of Insurance
(Insurance Authority)

Encls.

c.c. Chairman, The Hong Kong Federation of Insurers

Anti-Money Laundering Seminars for the Insurance Industry 2016

Date : 1 December 2016 (Thursday)

Time : 10:00 am – 12:30 pm
(Registration begins at 9:40 am)

Venue : Lecture Theatre, G/F,
Hong Kong Central Library,
66 Causeway Road, Causeway Bay,
Hong Kong

Language : English

<u>Time</u>	<u>Programme</u>
9:40 am – 10:00 am	Registration
10:00 am – 10:15 am	Opening Address
10:15 am – 10:50 am	Compliance Issues on Anti-Money Laundering and Counter-Terrorist Financing (“AML/CTF”)
10:50 am – 11:30 am	Suspicious Transaction Reports
11:30 am – 11:45 am	Break
11:45 am – 12:15 pm	Experience Sharing from the Insurance Broker’s Perspective
12:15 pm – 12:30 pm	Panel Discussion

Anti-Money Laundering Seminars for the Insurance Industry 2016

Date : 2 December 2016 (Friday)

Time : 2:00 pm – 4:30 pm
(Registration begins at 1:40 pm)

Venue : Lecture Theatre, G/F,
Hong Kong Central Library,
66 Causeway Road, Causeway Bay,
Hong Kong

Language : Cantonese

<u>Time</u>	<u>Programme</u>
1:40 pm – 2:00 pm	Registration
2:00 pm – 2:15 pm	Opening Address
2:15 pm – 2:50 pm	Compliance Issues on Anti-Money Laundering and Counter-Terrorist Financing (“AML/CTF”)
2:50 pm – 3:30 pm	Suspicious Transaction Reports
3:30 pm – 3:45 pm	Break
3:45 pm – 4:15 pm	Experience Sharing from the Insurance Broker’s Perspective
4:15 pm – 4:30 pm	Panel Discussion

Enrolment Form
Anti-Money Laundering Seminars for the Insurance Industry 2016

To : Office of the Commissioner of Insurance (Attn: AML Team)

Email : aml@oci.gov.hk

Deadline for enrolment: **11 November 2016** (Friday)

Name of Company : _____

Insurer/Broker (CIB)/Broker (PIBA)/Agent (Please delete as appropriate)

I wish to nominate the following person(s) to attend the seminar(s) in order of priority:

	Surname	Given name	Post	Telephone No. & E-mail	Preferred session *	
					1 Dec 2016 10:00am-12:30pm (English)	2 Dec 2016 2:00pm-4:30pm (Cantonese)
1						
2						
3						
Further seats requested:						
4						
5						

(*Please indicate the preference of the nominated person(s) by putting “√” in the box.)

Should the preferred session is full, would the nominated person(s) attend the alternative session?

Yes No

Name of Contact Person : _____

Post : _____

Telephone No. : _____

E-mail **: _____

(**For Confirmation Reply)

Note:

- *The seminars will be held at the Lecture Theatre, G/F, Hong Kong Central Library, 66 Causeway Road, Causeway Bay, Hong Kong. Please use rear entrance (i.e. the entrance facing Moreton Terrace Temporary Playground) of the Hong Kong Central Library to enter the Lecture Theatre.*
- *The seminar is free of charge. Enrolments are on a first-come-first-serve basis with seats for each company limited to three, and further seats to be allocated subject to availability.*
- *The OCI will send confirmation slip by email to the contact person (as provided above) before the seminar. Please request the participants to present the confirmation slip for registration on the event date.*
- *The personal data supplied is solely used for the purposes of facilitating the organization and conduct of the captioned seminars, and will be destroyed when the said purposes are fulfilled.*
- *For enquiries, please contact Miss Jasmine Lee (2153 2748) or via aml@oci.gov.hk.*