

保險業監理處

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覆函請註明本處檔號

In reply please quote this ref. INS/TEC/6/45

來函檔號 Your ref.

28 March 2013

電話 Tel. 2867 2711

To : Chief Executives of all authorized insurers

Dear Sirs,

**United Nations Sanctions (Democratic Republic of the Congo) Regulation 2013
United Nations Sanctions (Liberia) Regulation 2013 &
United States Executive Order 13224**

We are writing to inform you of the following developments:

(i) United Nations Sanctions (Democratic Republic of the Congo) Regulation 2013

An updated list of relevant persons and entities has been specified under section 30 of the United Nations Sanctions (Democratic Republic of the Congo) Regulation 2013 (Cap. 537 sub.leg. BC) (“the Congo Regulation”). The list has been published in the Gazette (G.N. 1494) on 22 March 2013 and is available on the Government’s website <http://www.gld.gov.hk/egazette/>. A copy of the list in Portable Document Format (“PDF”) is also available on the Insurance Authority’s website http://www.oci.gov.hk/framework/index04_03_13.html.

We would like to draw your attention to section 5 of the Congo Regulation which stipulates that making available funds or other financial assets or economic resources to relevant persons or entities, or dealing with funds or other financial assets or economic resources belonging to such persons or entities are prohibited, except with a licence.

(ii) United Nations Sanctions (Liberia) Regulation 2013

An updated list of relevant persons and entities has been specified under section 31 of the United Nations Sanctions (Liberia) Regulation 2013 (Cap. 537 sub.leg. BD) (“the Liberia Regulation”). The list has been

published in the Gazette (G.N. 1495) on 22 March 2013 and is available on the Government's website <http://www.gld.gov.hk/egazette/>. A copy of the list in PDF is also available on the Insurance Authority's website http://www.oci.gov.hk/framework/index04_03_13.html.

We would like to draw your attention to section 6 of the Liberia Regulation which stipulates that making available funds or other financial assets or economic resources to relevant persons or entities, or dealing with funds or other financial assets or economic resources belonging to such persons or entities are prohibited, except with a licence.

(iii) United States Executive Order 13224

The US Government has made a number of changes to the list of individuals and entities designated under the US Executive Order 13224 between 26 February 2013 and 21 March 2013. The relevant information can be found on the website of the US Treasury <http://www.treasury.gov/resource-center/sanctions/Programs/Documents/terror.pdf>.

You should review your records carefully to see whether you have had, or currently have, accounts, transactions or dealings with the named individuals or entities. If such review reveals any suspicious accounts, transactions or dealings, you should promptly notify the Joint Financial Intelligence Unit. You are also reminded to browse the US Treasury's website (including the FinCEN's website) regularly for the latest information.

Should you have any enquiries on the above, please contact Mr Raven Chan at 2153 2757 or Mr Wilson Choy at 2153 2748.

Yours faithfully,

(Miss Nancy Chien)
for Commissioner of Insurance

c.c. Chairman, The Hong Kong Federation of Insurers