

保險業監理處

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金鐘道政府合署二十一樓



OFFICE OF THE COMMISSIONER
OF INSURANCE

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覆函請註明本處檔號

In reply please quote this ref. INS/TEC/6/45/3

來函檔號 Your ref.

17 August 2012

電話 Tel. 2867 2711

To : Chief Executives of all authorized insurers
carrying on long term business

Dear Sirs,

Anti-Money Laundering Seminars for the Insurance Industry

To further enhance the insurance industry's awareness and understanding of the statutory obligations on anti-money laundering and counter-terrorist financing ("AML/CTF"), the Office of the Commissioner of Insurance ("OCI") will be hosting two anti-money laundering seminars on 14 September and 20 September 2012, in collaboration with the Financial Services and the Treasury Bureau and the Joint Financial Intelligence Unit. The objectives of the seminars are –

- (a) to provide the participants with an overview of the latest trend on international and domestic AML/CTF developments;
- (b) to raise the participants' awareness of key aspects of AML/CTF systems and controls, from regulator's perspective and insurance institution's perspective;
- (c) to enhance the participants' understanding of the statutory obligations and practices of suspicious transaction reporting ("STR"), including recommendations on improving STR quality; and
- (d) to provide a forum for discussion on matters relating to AML/CTF compliance.

The seminars will be conducted in English on 14 September 2012 from 2:00pm to 4:30pm and in Cantonese on 20 September 2012 from 9:30am to 12:00pm, and will be held at the Lecture Theatre of the Hong Kong Central Library,

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Causeway Bay. You and your Company's representatives (e.g. Compliance Officers, Training Officers, Agency Heads and Agency Administrators) are cordially invited to participate in the seminars. Two and a half CPD hours will be awarded to the participants. The programme of the seminars is attached herein at Annexes I & II for your reference.

To facilitate logistical arrangements, please request the interested parties to complete and return the enrolment form at Annex III to the OCI by either email, fax or post **on or before 31 August 2012**. Owing to the seating capacity of the venue, up to five seats will be reserved for each company on a first-come-first-serve basis. Unless otherwise advised, the participants may assume successful enrolments if they have returned the enrolment forms before the above deadline. Please also request participants to bring along copies of the enrolment forms for registration on the event date.

Should you have any enquiries on the above, please feel free to contact Mr Wilson Choy at 2153 2748 or Mr Edward Li at 2153 2752 or via [iamail@oci.gov.hk](mailto:iain@oci.gov.hk).

Yours faithfully,

(Miss Nancy Chien)
for Commissioner of Insurance

Encls.

c.c. Chairman, The Hong Kong Federation of Insurers

Anti-Money Laundering Seminars for the Insurance Industry

Date : 14 September 2012 (Friday)

Time : 2:00 pm – 4:30 pm
(Registration begins at 1:45 pm)

Venue : Lecture Theatre, G/F,
Hong Kong Central Library,
66 Causeway Road, Causeway Bay,
Hong Kong

Language : English

<u>Time</u>	<u>Programme</u>
1:45 pm – 2:00 pm	Registration
2:00 pm – 2:15 pm	Opening Address
2:15 pm – 2:45 pm	Compliance Issues on Anti-Money Laundering and Counter-Terrorist Financing (“AML/CTF”)
2:45 pm – 3:30 pm	Suspicious Transaction Reports
3:30 pm – 3:45 pm	Break
3:45 pm – 4:15 pm	Experience Sharing from the Insurer’s Perspective
4:15 pm – 4:30 pm	Panel Discussion

Anti-Money Laundering Seminars for the Insurance Industry

Date : 20 September 2012 (Thursday)

Time : 9:30 am – 12:00 pm
(Registration begins at 9:15 am)

Venue : Lecture Theatre, G/F,
Hong Kong Central Library,
66 Causeway Road, Causeway Bay,
Hong Kong

Language : Cantonese

<u>Time</u>	<u>Programme</u>
9:15 am – 9:30 am	Registration
9:30 am – 9:45 am	Opening Address
9:45 am – 10:15 am	Compliance Issues on Anti-Money Laundering and Counter-Terrorist Financing (“AML/CTF”)
10:15 am – 11:00 am	Suspicious Transaction Reports
11:00 am – 11:15 am	Break
11:15 am – 11:45 am	Experience Sharing from the Insurer’s Perspective
11:45 am – 12:00 pm	Panel Discussion

Enrolment Form
Anti-Money Laundering Seminars for the Insurance Industry

To : Office of the Commissioner of Insurance (Attn: AML Team)
 Fax no. : 2153 2702
 Email : iamail@oci.gov.hk
 Post : 21/F, Queensway Government Offices, 66 Queensway, Hong Kong

Deadline for enrolment : **31 August 2012** (Friday)

I wish to nominate the following person(s) to attend the seminar(s):

Company name: _____

Surname	Given name	Post	Telephone No. & E-mail	Preferred session*	
				14.09.2012 (English)	20.09.2012 (Cantonese)

*Please indicate your preference by putting "1" and "2" in the boxes.

 Name:

Post:

Telephone no.:

Fax no.:

E-mail:

Note:

- *The seminars will be held at the Lecture Theatre, G/F, Hong Kong Central Library, 66 Causeway Road, Causeway Bay, Hong Kong. Please use rear entrance (i.e. the entrance facing Moreton Terrace Temporary Playground) of the Hong Kong Central Library to enter the Lecture Theatre.*
- *The seminar is free of charge. Enrolments are on a first-come-first-serve basis with seats for each company limited to five only.*
- *Please retain and bring along a copy of this enrolment form for registration on the event date.*
- *The personal data supplied is solely used for the purposes of facilitating the organization and conduct of the captioned seminars, and will be destroyed when the said purposes are fulfilled.*
- *For enquiries, please contact Mr Wilson Choy (2153 2748) or Mr Edward Li (2153 2752) of OCI or via iamail@oci.gov.hk.*