

保險業監理處

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OFFICE OF THE COMMISSIONER
OF INSURANCE

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覆函請註明本處檔號

In reply please quote this ref. INS/TEC/6/45/3
來函檔號 Your ref.

11 November 2011

電話 Tel. 2153 2737

To : Chief Executives of all authorized insurers
carrying on long term business

Dear Sirs,

Anti-Money Laundering Seminars for the Insurance Industry

To further enhance the insurance industry's awareness and understanding of the statutory obligations on anti-money laundering and counter-terrorist financing ("AML/CTF"), the Office of the Commissioner of Insurance ("OCI") will be hosting two anti-money laundering seminars on 15 December and 22 December 2011, in collaboration with the Financial Services and the Treasury Bureau and the Joint Financial Intelligence Unit. The objectives of the seminars are –

- (a) to raise the participants' awareness of the vulnerability of the insurance sector to money laundering and terrorist financing;
- (b) to enhance the participants' understanding of the statutory obligations and practices of suspicious transaction reporting ("STR"), including recommendations on improving STR quality; and
- (c) to provide a forum for discussion on matters relating to AML/CTF compliance.

The seminars will be conducted in English on 15 December 2011 and in Cantonese on 22 December 2011 and will be held from 9:30 am to 12:30 pm at the Lecture Theatre of Hong Kong Central Library, Causeway Bay. You and your Company's representatives (e.g. Compliance Officers, Training Officers and Agency Heads) are cordially invited to participate in the seminars. Three CPD hours will be awarded to the participants. The programme of the seminars is attached herein at Annex I for your reference.

/...

To facilitate logistical arrangements, please request the interested parties to complete and return the enrolment form at Annex II to the OCI by either email, fax or post on or before **30 November 2011**. Owing to the seating capacity of the venue, up to five seats will be reserved for each company on a first-come-first-serve basis. Unless otherwise advised, the participants may assume successful enrolments if they have returned the enrolment forms before the above deadline. Please also request participants to bring along copies of the enrolment forms for registration on the event date.

For any enquiries, please feel free to contact Miss Alison Sy at 2153 2750 or Miss Chloe Cheung at 2153 2749 or via iamail@oci.gov.hk.

Yours faithfully,

(David Liu)
for Commissioner of Insurance

Encls.

c.c. Chairman, The Hong Kong Federation of Insurers

Anti-Money Laundering Seminars for the Insurance Industry

Date : 15 December 2011 (Thursday)
22 December 2011 (Thursday)

Time : 9:30am- 12:30pm

Venue : The Lecture Theatre, G/F, Hong Kong
Central Library, Causeway Bay, Hong
Kong

Language : English (15 December 2011)/
Cantonese (22 December 2011)

<u>Time</u>	<u>Programme</u>
9:15am- 9:30am	Registration
9:30am –9:45am	Opening Address
9:45 am-10:30am	Compliance issues on Anti-Money Laundering and Counter-Terrorist Financing (“AML/CTF”)
10:30am-11:00am	Suspicious Transaction Reports
11:00am-11:15am	Break
11:15am - 12:00pm	Experience Sharing from the Insurer’s Perspective
12:00pm -12:30pm	Panel Discussion

Enrolment Form
Anti-Money Laundering Seminars for the Insurance Industry

To : Office of the Commissioner of Insurance (Attn: Alison Sy)
Fax no. : 21532702
Email : iamail@oci.gov.hk
Address : 21/F, Queensway Government Offices, 66 Queensway, Hong Kong

Deadline for enrolment: 30 November 2011 (Wednesday)

I wish to nominate the following person(s) to attend the seminar(s):

Company name:

Name	Post	Contact no.	Email address	Preferred session*	
				15.12.2011 (English)	22.12.2011 Cantonese)

*Please indicate your preference by putting "1" and "2" in the boxes.

Name:

Post:

Contact no.:

Fax no.:

Note:

- *The seminar is free of charge. Enrolments are on a first-come-first-serve basis with seats for each company limited to five only.*
- *Please retain and bring along a copy of this enrolment form for registration on the event date.*
- *The personal data supplied is solely used for matters relating to the captioned seminar.*
- *For enquiries, please contact Miss Alison Sy (21532750) or Miss Chloe Cheung (21532749) of OCI or via iamail@oci.gov.hk.*