

保險業監理處

香港金鐘道六十六號  
金鐘道政府合署二十一樓



OFFICE OF THE COMMISSIONER  
OF INSURANCE

21st Floor,  
Queensway Government Offices,  
66 Queensway,  
Hong Kong

圖文傳真 Fax: (852) 2869 0252

覆函請註明本處檔號

In reply please quote this ref. INS/TEC/6/45

16 December 2009

來函檔號 Your ref.

電話 Tel. 2867 4631

To : Chief Executives of all authorized insurers  
carrying on long term business

Dear Sirs,

**Consultation on “Proposed New Legislation on the  
Customer Due Diligence and Record-Keeping Requirements  
for Financial Institutions and the Regulation of  
Remittance Agents and Money Changers - Detailed Proposals”**

We are writing to inform you that the Financial Services and the Treasury Bureau (“FSTB”) launched on 7 December 2009 a second-round consultation on detailed legislative proposals on the customer due diligence and record-keeping requirements for financial institutions and on the regulation of remittance agents and money changers. This set of proposals is to address relevant deficiencies identified in the Mutual Evaluation conducted by the Financial Action Task Force on Hong Kong in 2007. The detailed legislative proposals and the conclusion of the first-round consultation can be found on the website of the FSTB (<http://www.fstb.gov.hk/fsb/aml/eng/consultation/consultation.htm>). The FSTB welcomes views and comments from relevant market participants on or before **6 February 2010**.

Similar to the first-round consultation, the FSTB will arrange a consultative session to brief the insurance sector on the detailed legislative proposals and listen to their views and comments. Details of the consultative session are as follows:

Date: 18 January 2010 (Monday)  
Time: 10:30am to 12:30pm  
Venue: The Lecture Theatre,  
G/F, Hong Kong Central Library,  
Causeway Bay,  
Hong Kong.

You and your Company's representatives are cordially invited to attend the above consultative session. For enrolment purpose, grateful please request the interested parties to complete and return the enrolment form at the Annex (one for each participant) to the OCI by either email, fax or post on or before **6 January 2010**. Please also request them to retain and bring along the copies of the enrolment forms for registration at the aforesaid venue. Participants are encouraged to read the consultative document in advance.

For any enquiries, please feel free to contact Mr. Keith Lee at 2867 4845 or Ms. Joanne Lin at 2867 2557 or via [iamail@oci.gov.hk](mailto:iamail@oci.gov.hk).

Yours faithfully,

(Ms. Carol M. Y. Hui)  
for Commissioner of Insurance

Encl.

c.c. Chairman, The Hong Kong Federation of Insurers

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**Annex**

**Enrolment Form**

**Consultative Session on the Proposed New Legislation on the  
Customer Due Diligence and Record-Keeping Requirements  
for Financial Institutions and the Regulation of  
Remittance Agents and Money Changers**

To : Office of the Commissioner of Insurance (Attn: Mr. Keith Lee)  
Fax no. : 2869 0252  
Email : [iamail@oci.gov.hk](mailto:iamail@oci.gov.hk)

Deadline for enrolment : **6 January 2010 (Wednesday)**

**I wish to attend the consultative session on 18 January 2010 (10:30am to 12:30pm) at  
the Lecture Theatre, G/F, Hong Kong Central Library, Causeway Bay, Hong Kong.**

Name: \_\_\_\_\_

Post: \_\_\_\_\_

Company name: \_\_\_\_\_

Company address: \_\_\_\_\_

Contact telephone no.: \_\_\_\_\_ Fax no.: \_\_\_\_\_

Email address: \_\_\_\_\_

**Note:**

- ***Please retain and bring along a copy of this enrolment form for registration at the Lecture Theatre of the Hong Kong Central Library.***
- ***The personal data supplied is solely used for matters relating to the captioned consultative session.***
- ***For enquiries, please contact Mr. Keith Lee (2867 4845) or Ms. Joanne Lin (2867 2557) of OCI or via [iamail@oci.gov.hk](mailto:iamail@oci.gov.hk).***