

保險業監理處

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覆函請註明本處檔號

In reply please quote this ref. INS/TEC/6/45

17 July 2009

來函檔號 Your ref.

電話 Tel. 2867 4631

To : Chief Executives of all authorized insurers
carrying on long term business

Dear Sirs,

United Nations Sanctions (Democratic Republic of the Congo) Regulation 2009
United Nations Sanctions (Liberia) Regulation 2009
United Nations Sanctions (Somalia) Regulation
United Nations Sanctions (Democratic People's Republic of Korea) Regulation &
United States Executive Order 13224

United Nations Sanctions (Democratic Republic of the Congo) Regulation 2009

In Hong Kong, the United Nations Sanctions (Democratic Republic of the Congo) Regulation 2009 (“the Congo Regulation 2009”) was made under the United Nations Sanctions Ordinance (Cap. 537) and published in the Gazette (L.N. 37 of 2009) on 13 March 2009. It gives effect to certain decisions adopted by the Security Council of the United Nations (“Security Council”) in Resolution 1857 (2008).

A list of “relevant persons and entities” has been specified under section 30 of the Congo Regulation 2009 and was published in the Gazette (G.N. 1692) on 20 March 2009. The Congo Regulation 2009 and the list are available on the Government’s website (www.gld.gov.hk/egazette/). A copy of the list in Portable Document Format (pdf) is also available on the Insurance Authority’s website (www.oci.gov.hk/framework/index04_03_09.html).

United Nations Sanctions (Liberia) Regulation 2009

In Hong Kong, the United Nations Sanctions (Liberia) Regulation 2009 (“the Liberia Regulation 2009”) was made under the United Nations Sanctions Ordinance (Cap. 537) and published in the Gazette (L.N. 38 of 2009)

on 13 March 2009. It gives effect to certain decisions adopted by the Security Council in Resolution 1854 (2008) and continues to give effect to the decision of the Security Council in Resolution 1532 (2004).

A list of “relevant persons and entities” has been specified under section 31 of the Liberia Regulation 2009 and was published in the Gazette (G.N. 1693) on 20 March 2009 and a revised list was subsequently designated and gazetted (G.N. 3473) on 5 June 2009. The Liberia Regulation 2009 and the lists are available on the Government’s website (www.gld.gov.hk/egazette/). A copy of the revised list in Portable Document Format (pdf) is also available on the Insurance Authority’s website (www.oci.gov.hk/framework/index04_03_09.html).

United Nations Sanctions (Somalia) Regulation

In Hong Kong, the United Nations Sanctions (Somalia) Regulation (“the Somalia Regulation”) was made under the United Nations Sanctions Ordinance (Cap. 537) to give effect to certain decisions adopted by the Security Council in Resolutions 733 (1992), 1356 (2001), 1425 (2002), 1725 (2006), 1744 (2007), 1772 (2007) and 1844 (2008). The Somalia Regulation was published in the Gazette (L.N. 58 of 2009) on 27 March 2009 which is available on the Government’s website (www.gld.gov.hk/egazette/).

United Nations Sanctions (Democratic People's Republic of Korea) Regulation

Further to my letter of 7 November 2007, a list of relevant entities has been specified under section 31 of the United Nations Sanctions (Democratic People's Republic of Korea) Regulation. The list was published in the Gazette (G.N. 3019) on 22 May 2009 which is available on the Government’s website (www.gld.gov.hk/egazette/). A copy of the list in Portable Document Format (pdf) is also available on the Insurance Authority’s website (www.oci.gov.hk/framework/index04_03_09.html).

United States Executive Order 13224

Further to my letter of 8 June 2009, the US Government has designated additional names to the list under the US Executive Order 13224.

The relevant information can be found on the website of the Office of Foreign Assets Control of the US Treasury (www.ustreas.gov/offices/enforcement/ofac/programs/terror/terror.pdf) under the headings of “Names of individuals added on 7-1-09” and “Name of entity added on 7-2-09”.

You should review your records carefully to see whether you have had, or currently have, accounts, transactions or dealings with the named individuals or entities. If such review reveals any suspicious accounts, transactions or dealings, you should promptly notify the Joint Financial Intelligence Unit. You are also reminded to browse the US Treasury website (including the FinCEN website) regularly for the latest information.

Should you have any enquiries, please contact Ms. Joanne Lin at 2867 2557 or Mr. Keith Lee at 2867 4845.

Yours faithfully,

(Ms. Carol M. Y. Hui)
for Commissioner of Insurance

c.c. Chairman, The Hong Kong Federation of Insurers