

保險業監理處

香港金鐘道六十六號  
金鐘道政府合署二十一樓



OFFICE OF THE COMMISSIONER  
OF INSURANCE

21st Floor,  
Queensway Government Offices,  
66 Queensway,  
Hong Kong

圖文傳真 Fax: (852) 2869 0252

覆函請註明本處檔號

In reply please quote this ref. INS/TEC/6/45

9 July 2009

來函檔號 Your ref.

電話 Tel. 2867 4631

To : Chief Executives of all authorized insurers  
carrying on long term business

Dear Sirs,

**Consultation on Conceptual Framework of the Legislative Proposal  
to Enhance the Anti-Money Laundering Regulatory Regime  
in respect of the Financial Sectors**

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The Financial Services and the Treasury Bureau ("FSTB") has formulated a proposal to provide statutory backing and appropriate sanctions for customer due diligence and record keeping requirements for financial institutions. This proposal is to address relevant deficiencies identified in the Mutual Evaluation conducted by the Financial Action Task Force on Hong Kong in 2007.

To gauge the views and comments of relevant market participants on the proposal, the FSTB launches a consultation for the proposed legislation today and comments are welcome on or before **8 October 2009**. The relevant consultative document can be found on the website of the FSTB (<http://www.fstb.gov.hk/fsb/aml/eng/consultation/consultation.htm>).

During the consultation period, the FSTB will organize a series of consultative sessions for individual financial sectors. The objectives of these sessions are:

- (a) to brief the attendees on the proposals; and
- (b) to listen to views and comments from the attendees.

Details of the consultative session specifically arranged for the insurance sector are as follows:

Date: 17 August 2009 (Monday)  
Time: 9:30am to 11:30am  
Venue: The Lecture Theatre,  
G/F, Hong Kong Central Library,  
Causeway Bay,  
Hong Kong.

You and your Company's representatives are cordially invited to attend the above consultative session. For enrolment purpose, grateful please request the interested parties to complete and return the enrolment form at the Annex (one for each participant) to the OCI by either email, fax or post on or before **31 July 2009**. Please also request them to retain and bring along the copies of the enrolment forms for registration at the aforesaid venue. Participants are encouraged to read the consultative document in advance.

For any enquiries, please feel free to contact Mr. Keith Lee at 2867 4845 or Ms. Joanne Lin at 2867 2557 or via [iamail@oci.gov.hk](mailto:iamail@oci.gov.hk).

Yours faithfully,

(Ms. Carol M. Y. Hui)  
for Commissioner of Insurance

c.c. Chairman, The Hong Kong Federation of Insurers

Encl.

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**Annex**

**Enrolment Form**  
**Consultative Session on Conceptual Framework of the Legislative Proposal**  
**to Enhance the Anti-Money Laundering Regulatory Regime**  
**in respect of the Financial Sectors**

To : Office of the Commissioner of Insurance (Attn: Mr. Keith Lee)  
Fax no. : 2869 0252  
Email : [iamail@oci.gov.hk](mailto:iamail@oci.gov.hk)

Deadline for enrolment : **31 July 2009** (Friday)

**I wish to attend the consultative session on 17 August 2009 (a.m.)**

Name: \_\_\_\_\_

Post: \_\_\_\_\_

Company name: \_\_\_\_\_

Company address: \_\_\_\_\_

Contact telephone no.: \_\_\_\_\_ Fax no.: \_\_\_\_\_

Email address: \_\_\_\_\_

**Note:**

- *Please retain and bring along a copy of this enrolment form for registration at the Lecture Theatre of the Hong Kong Central Library.*
- *The personal data supplied is solely used for matters relating to the captioned consultative session.*
- *For enquiries, please contact Mr. Keith Lee (2867 4845) or Ms. Joanne Lin (2867 2557) of OCI or via [iamail@oci.gov.hk](mailto:iamail@oci.gov.hk).*