

Our Ref: INS/TEC/6/45

25 October 2021

To : Chief Executives of all authorized insurers carrying on long term business

Dear Sirs,

Information on Compliance Officer and Money Laundering Reporting Officer appointed under the Guideline on Anti-Money Laundering and Counter-Terrorist Financing (“the Guideline”)

As you are aware, under paragraph 3.7 of the Guideline, the senior management of an authorized insurer carrying on long term business (“insurer”) should appoint a Compliance Officer (“CO”) at the management level to have the overall responsibility for the establishment and maintenance of the insurer’s AML/CFT systems; and a senior staff as the Money Laundering Reporting Officer (“MLRO”) to act as the central reference point for suspicious transaction reporting.

To facilitate more effective communication between the IA and the CO and the MLRO of insurers, we consider it necessary to collect the up-to-date information of CO and MLRO of all insurers. In this regard, please complete the notification form at **Annex A** and return to us by **15 November 2021**.

If there are any subsequent changes to the information of CO or MLRO, please complete the same and send it to us by email to aml@ia.org.hk **within 1 month** of such change(s).

Should you have any enquiries regarding the above, please contact Mr. Steven Ho at 3899 9752 or Mr. James Luo at 3899 9773.

Yours faithfully,

Dickson Chui
Senior Manager
Market Conduct Division
Insurance Authority

c.c. The Hong Kong Federation of Insurers

Encl.