

Anti-Money Laundering and Counter-Financing of Terrorism Seminars 2026

	Seminar 1	Seminar 2
Date	16 November 2026 (Monday)	26 November 2026 (Thursday)
Time	9:45 am – 12:45 pm (Registration starts at 9:15 am)	2:30 pm – 5:30 pm (Registration starts at 2:00 pm)
Venue	Lecture Theatre, G/F, Hong Kong Central Library, 66 Causeway Road, Causeway Bay, Hong Kong	
Language	Cantonese	English
Fee	Free of charge	
CPD Hours	3 hours (Ethics or Regulations)	
Enrolment Period	12 October 2026 to 26 October 2026	

About enrolment:

1. Each authorized insurer/licensed insurance agency/licensed insurance broker company should submit **only one** online enrolment form.
2. As seats are limited, the IA will attempt to allocate seats to all first-priority nominees on each enrolment form submitted by the deadline. The remaining seats (if any) will be allocated to other nominees on a first-come, first-served basis. Each company can only nominate a **maximum of three** representatives.
3. An alternative session of the seminar may be allocated (subject to the seat availability and nominee preference) only if the preferred session is fully booked.
4. The IA will send a confirmation slip by email to all successful nominees and the contact person of each company at least one week before the seminar. Please **present the confirmation slip for admission at the venue**. Please ensure to provide the correct email address for each nominee in the enrolment form, as the confirmation slip will be sent to these email addresses. If you do not hear from the IA by **9 November 2026 (Mon)**, please consider the enrolment as unsuccessful.

CPD Certification:

1. Participants who would like to receive a CPD certificate must indicate their preference in the online enrolment form. A CPD certificate will not be issued if the participant has not indicated their preference to receive one on the online enrolment form.
2. An electronic copy of the CPD certificate will be sent to the participants' email addresses, as provided in the online enrolment form, **within two months after the seminar**, once their attendance record has been verified. The CPD certificate will only be granted to those who have attended the **entire** seminar session. Participants who arrive late or leave early may not be eligible to receive the CPD certificate.

Programme rundown:

Seminar 1 – 16 November 2026 (Cantonese session)		
Time	Topic	Speakers
09: 15 – 09:45 (30 mins)	Registration	
09: 45 – 09: 55 (10 mins)	Opening Address	Mr Alan Wu, Associate Director Conduct Supervision Division, Insurance Authority
09: 55 – 10: 40 (45 mins)	Supervisory Observations and Other Compliance Matters on AML/CFT	- Mr Joseph Lee, Deputy Senior Manager - Mr Steven Ho, Deputy Senior Manager Conduct Supervision Division, Insurance Authority
10: 40 – 11: 25 (45 mins)	Money Laundering/Terrorist Financing Typologies and Suspicious Transaction Reports	Ms Katie Wu, Senior Inspector Joint Financial Intelligence Unit, Financial Intelligence and Investigation Bureau, Hong Kong Police Force
11: 25 – 11: 40 (15 mins)	Break	
11: 40 – 12: 30 (50 mins)	Panel discussion: AML Effectiveness in Practice: Judgement, Governance and Accountability	Ms Esther Tsang Association of Certified Anti-Money Laundering Specialists (ACAMS), Hong Kong Chapter
		Mr Charles Fung, Superintendent Money Laundering Expert Cadre Hong Kong Police Force
		Mr Dickson Chui, Senior Manager Conduct Supervision Division, Insurance Authority
		Moderator: Ms Stephanie Luk, Manager Conduct Supervision Division, Insurance Authority
12: 30 – 12: 45 (15 mins)	Q&A Session	
12: 45	Seminar ends	

Seminar 2 – 26 November 2026 (English session)		
Time	Topic	Speakers
14: 00 – 14:30 (30 mins)	Registration	
14: 30 – 14: 40 (10 mins)	Opening Address	Mr Alan Wu, Associate Director Conduct Supervision Division, Insurance Authority
14: 40 – 15: 25 (45 mins)	Supervisory Observations and Other Compliance Matters on AML/CFT	- Mr Joseph Lee, Deputy Senior Manager - Mr Steven Ho, Deputy Senior Manager Conduct Supervision Division, Insurance Authority
15: 25 – 16: 10 (45 mins)	Money Laundering/Terrorist Financing Typologies and Suspicious Transaction Reports	Ms Katie Wu, Senior Inspector Joint Financial Intelligence Unit, Financial Intelligence and Investigation Bureau, Hong Kong Police Force
16: 10 – 16: 25 (15 mins)	Break	
16: 25 – 17: 15 (50 mins)	Panel discussion: AML Effectiveness in Practice: Judgement, Governance and Accountability	- Ms Wendy Ennis - Mr Rod Francis Association of Certified Anti-Money Laundering Specialists (ACAMS), Hong Kong Chapter
		Mr Charles Fung, Superintendent Money Laundering Expert Cadre Hong Kong Police Force
		Mr Dickson Chui, Senior Manager Conduct Supervision Division, Insurance Authority
		Moderator: Ms Stephanie Luk, Manager Conduct Supervision Division, Insurance Authority
17: 15 – 17: 30 (15 mins)	Q&A Session	
17: 30	Seminar ends	