

Our Ref: INS/TEC/6/45/3

12 October 2026

To : Chief Executives / Responsible Officers of all insurance institutions (“IIs”)¹

Dear Sirs/Madams,

Anti-Money Laundering and Counter-Financing of Terrorism Seminars 2026

To further enhance insurance practitioners’ awareness and understanding of the regulatory requirements on anti-money laundering and counter-financing of terrorism (AML/CFT), and of recent money laundering and terrorist financing (ML/TF) trends, the Insurance Authority will host two AML/CFT seminar sessions on 16 November 2026 and 26 November 2026. Both sessions will be held at the Lecture Theatre of the Hong Kong Central Library, Causeway Bay.

The objectives of the seminars are:

- (a) to share supervisory observations on insurers and insurance intermediaries, together with other AML/CFT compliance matters;
- (b) to present money laundering typologies and observations on suspicious transaction reporting in the insurance industry;
- (c) to discuss how management-level governance, judgement and accountability contribute to the effectiveness of an insurance institution’s AML/CFT systems, from regulatory, law enforcement and industry perspectives;
- (d) to provide a forum for discussion on matters relating to AML/CFT compliance.

Who should attend

You and representatives from your company (such as Compliance Officers, Money Laundering Reporting Officers, and relevant personnel responsible for overseeing the AML/CFT systems and controls) are cordially invited to attend the seminars. Each company may nominate **up to 3 representatives**. The seminars are free of charge and are recognized as Type 7 Continuing Professional Development (CPD) activities under

¹ The definition of IIs should be construed in conjunction with the Guideline on Anti-Money Laundering and Counter-Terrorist Financing (“GL3”), i.e. authorized insurers and reinsurers carrying on long term business, and licensed individual insurance agents, licensed insurance agencies and licensed insurance broker companies carrying on regulated activities in respect of long term business.

GL24. Attendees will be awarded **three CPD hours** under the “Ethics or Regulations” category.

Enrolment

Each company should only submit one enrolment form via the following link **on or before 26 October 2026 (Monday)**:

<https://www.ia.org.hk/amlseminarenrolment/>

Seats will be allocated on a first-come, first-served basis. Priority will be given to the first nominee on each enrolment form received by the Insurance Authority by the deadline. Any remaining places will then be allocated to the other nominees on the same basis. If a preferred session is full, nominees may be allocated the alternative session, subject to availability. Successful nominees will be notified by email at least one week before the seminar.

Please refer to the **Annex** for further details regarding enrolment, programme rundown and speakers of the seminars.

For enquiries on enrolment, please email aml@ia.org.hk or contact Ms. Friendly Wong at 3899 9781. For other programme matters, please email aml@ia.org.hk.

Yours faithfully,

Dickson Chui
Senior Manager
Conduct Supervision Division
Insurance Authority

c.c. The Hong Kong Federation of Insurers
Professional Insurance Brokers Association
The Hong Kong Confederation of Insurance Brokers

Encl.

Annex: Enrolment details and programme rundown